

1754 IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: James M. Tour et al.

Serial No.: 10/521,903

Filing Date: July 15, 2003

Art Unit: 1754

Examiner: N/Y/A

Title: *Process for Functionalizing Carbon Nanotubes Under Solvent-Free Conditions*

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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UNDER 37 C.F.R. § 1.97(b)

Applicant hereby submits this Supplemental Information Disclosure Statement and following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the references cited in the attached PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

ATTORNEY DOCKET NO.
11321-P054WOUS

Applicant does not believe that any fees are due at this time; however, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37.CFR § 1.17 to Deposit Account No. 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P054WOUS).

Respectfully submitted,

Date: 6 September 2007

Victor Behar
Victor Behar, Reg. No. 60,691

AGENT FOR APPLICANT

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September 6, 2007
Date

Cherita Grimstead
Cherita Grimstead

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SEP 11 2007

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Application Number	10/521,903
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First Named Inventor	James M. Tour
Art Unit	1754
Examiner Name	Unknown
Attorney Docket Number	11321-P054WOUS

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1*
		Country Code**Number***Kind Code* (if known)	MM-DD-YYYY			
	1	WO 02/060812	08/08/02	Tour et al.		
	2	WO 98/39250	09/11/98	Smalley et al.		
	3	WO 00/26138	05/11/00	Smalley et al.		
	4	WO 01/30694	05/03/01	Smalley et al.		

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	5	Ebbesen et al., "Large-scale synthesis of carbon nanotubes" 358 Nature (1992), pp. 220-222	
	6	Ebbesen et al., "Carbon Nanotubes", 24 Annual Review of Materials Science (1994), pp. 235-264	
	7	Iijima et al., "Helical microtubes of graphitic carbon" 354 Nature (1991), pp. 56-58	
	8	Saito et al., Physical Properties of Carbon Nanotubes, 1998, London: Imperial College Press	
	9	Sun et al., "Creating the narrowest carbon nanotubes" 403 Nature (2000), pg. 384	
	10	Qin et al., "Electron Microscope imaging and contrast of smallest carbon nanotubes", 349 Chem. Phys. Lett. (2001), pp. 389-393	
	11	Wang et al., "The smallest carbon nanotube", 408 Nature (2000), pp. 50-51	
	12	Hafner et al., "Catalytic growth of single-wall carbon nanotubes from metal particles" 296 Chem. Phys. Lett. (1998), pp. 195-202	
	13	Cheng et al., "Bulk morphology and diameter distribution." 289 Chem. Phys. Lett. (1998), pp. 602-610	
	14	Nikolaev et al., "Gas-phase catalytic growth of single-walled carbon nanotubes." 313 Chem. Phys. Lett. (1999), pp. 91-97	

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	15	Thess et al., "Crystalline Ropes of Metallic Carbon Nanotubes" 273 Science (1996), pp. 483-487	
	16	Vander Wal et al., "Flame and furnace synthesis of single-walled and multi-walled carbon nanotubes...", 105 J. Phys. Chem. B. 42 (2001), pp. 10249-10256	
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	19	Liu, et al., "Fullerene Pipes" 280 Science (1998), pp. 1253-1256	
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	21	Aihara, "Lack of Superaromaticity in C_{60} Carbon nanotubes", 98 J. Phys. Chem. (1994), pgs. 9773-9776	
	22	Chen, Y. et al., "Chemical attachment of organic and functional groups to single-walled carbon nanotube material", 13 J. Mater. Res. (1998), pp. 2423-2431	
	23	Bahr et al., "Covalent chemistry of single-wall carbon nanotubes", 12 J. Mater. Chem. (2002), pp. 1952-1958	
	24	Banerjee et al., "Rational Chemical Strategies for Carbon Nanotube Functionalization", 9 Chem. Eur. J. (2003), pgs. 1899-1908	

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	25	Holzinger et al., "Sidewall Functionalization of Carbon Nanotubes", 40 Angew. Chem. Int. Ed. 21 (2001), pp. 4002-4005	
	26	Bahr et al., "Dissolution of small diameter single-wall carbon nanotubes in organic solvents", Chem. Commun. (2001), pgs. 193-194	
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	29	Meier et al., "Addition of Nitrile Oxides to C60: Formation of Isoxazoline Derivatives of Fullerenes", 58 J. Org. Chem. (1993), pgs. 4524	
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	34	Wu et al., "Finite size effects in carbon nanotubes", 77(16) Appl. Phys. Lett. (2000), pgs. 2554-2556	

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	35	Richter et al., "Theory of Size-Dependent Resonance Raman Scattering from Carbon Nanotubes", 79 Phys. Rev. Lett., Science (1997), pgs. 2738-2740	
	36	Rao et al., "Diameter-Selective Raman Scattering from Vibrational Modes in Carbon Nanotubes", 275 Science (1997), pp. 187-191	
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	41	Niyogi et al., "Chemistry of Single-Walled Carbon Nanotubes", 35 Acc. Chem. Res. (2002), pgs. 1105-1113	
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	44	Himeshima et al., "Fluoride-Induced 1,2-Elimination of O-Trimethylsilyl-Phenyl Triflate to Benzene Under Mild Conditions", Chem. Soc. of Japan (1983), pgs. 1211-1214	

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